

# Tax Residency Self-Certification Form for Controlling Person

## How to complete this form

- 1 Please complete all sections as directed.
- 2 Once completed please send to:

**Octopus Investments Limited**  
PO Box 10847  
Chelmsford  
CM99 2BU

Octopus Investments is required by UK law to collect information about the tax residency and tax classification status of its investors. In certain circumstances it may be necessary for us to share this information with HM Revenue and Customs (HMRC), which is also obliged to share relevant information with tax authorities in other jurisdictions.

Please note, each Controlling Person should complete a Self-Certification Form. In the case of trusts this may include: settlors, trustees and any named beneficiaries. In the case of companies this may include: owners, managing officials, and any person who owns or controls more than 25% of the capital, profits or voting rights.

You can either photocopy this form, download and print an additional copy from [octopusinvestments.com/reportingforms](https://octopusinvestments.com/reportingforms) or call our Client Relations Team on **0800 316 2295** who will send you one by post.

If you have any questions about tax residence or classification, we recommend that you contact your adviser.

Section 1 – About the Controlling Person			
<b>Title</b> (Mr/Mrs/Miss/Ms/Other)	<input type="text"/>		
<b>First name(s)</b>	<input type="text"/>		
<b>Last name</b>	<input type="text"/>		
<b>Date of birth</b> DD MM YYYY	<input type="text"/>		
<b>Country of birth</b>	<input type="text"/>		
<b>Country(ies) of citizenship</b>	<input type="text"/>		
<b>Telephone numbers</b>	<b>Country code</b>	<b>Telephone number</b>	
Home:	<input type="text"/>	<input type="text"/>	
Work:	<input type="text"/>	<input type="text"/>	
Mobile:	<input type="text"/>	<input type="text"/>	
<b>Address</b>	<input type="text"/>		
	<input type="text"/>		
<b>Country</b>	<input type="text"/>		<b>Postcode</b> <input type="text"/>
<b>Please enter the legal name of the relevant entity Account Holder(s) of which you are a Controlling Person</b>	Legal name of Entity 1 <input type="text"/>		
	Legal name of Entity 2 <input type="text"/>		
	Legal name of Entity 3 <input type="text"/>		

**Type of Controlling Person**  
(Please only complete this section if you are tax resident in one or more Reportable Jurisdictions)

Please provide the Controlling Person's status by ticking the appropriate box.	Entity 1	Entity 2	Entity 3
Controlling Person of a corporation, a partnership, a pension fund or a charity.			
Control by ownership	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Control by other means	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Senior managing official	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Controlling Person or trust.			
Settlor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trustee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Protector	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Beneficiary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Controlling Person of a legal arrangement (non-trust). A legal arrangement is any entity which is neither a legal entity (such as a company or partnership) nor a trust. Examples of a legal arrangement include, but are not limited to, unincorporated clubs and associations.			
Settlor-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trustee-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Protector-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Beneficiary-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Section 2 – Tax Residency status**

2.1 Are you tax resident, or do you complete tax returns, in any country other than the UK?

No – please go straight to **Section 3**.

If your country of birth or country of citizenship is outside of the UK, please send us a certified copy of a valid UK ID, such as a UK driving licence or passport, or a certified letter from HMRC, to confirm your UK tax residency status.

Yes – please complete the table below.

2.2 Please list the country(ies), other than the UK, in which you are resident for tax purposes. Please provide the Taxpayer Identification Number (TIN) or functional equivalent for each country(ies), or tick the relevant box if the country does not provide a TIN.

Country(ies)	Taxpayer Identification Number (TIN)	TIN not available
		<input type="checkbox"/>

## Section 3 – Declaration

### Investor declaration

(To be completed by the Controlling Person named in Section 1, or registered Attorney(s))

I declare that to the best of my knowledge and belief, the information I have provided in this form is true, correct and complete.

I understand that the information contained in this form and any information about the Investor and their accounts may be reported to the tax authorities of the country in which these accounts are maintained. They may also be exchanged with tax authorities of another country or countries in which the Controlling Person is a tax resident depending on the Intergovernmental Agreements (IGAs) that are in place.

**Controlling Person name**

**Controlling Person signature**

### Capacity

(**Note:** If you are not the Controlling Person please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.)

**Date signed**

DD MM YYYY

## Got a question?

We don't offer financial or tax advice, which is why we always recommend talking to a qualified financial adviser before making any investment decisions. However, if you have any other questions, please call us on **0800 316 2295**. We're always happy to hear from you.



0800 316 2295  
clientrelations@octopusinvestments.com  
**octopusinvestments.com**



Octopus Investments,  
33 Holborn,  
London EC1N 2HT